FINANCE (FIN)

FIN 101 Personal Finance (Units: 3)
Preparation for dealing with the challenges of making sound financial decisions in a constantly changing economy. Development of planning techniques and the ability to make appropriate financial decisions, both personal and business. Emphasis on the improvement of analytical skills to facilitate effective financial decision-making, including making informed decisions regarding budgets, investment, insurance, retirement, and estate planning.

FIN 303 Accounting for Financial Analysis (Units: 3)
Prerequisites: Restricted to upper-division Business Administration: Concentration in Finance majors and minors; FIN 350* with a grade of C- or better.

FIN 309 Financial Data Analysis (Units: 3)
Prerequisites: Restricted to upper-division Finance majors and minors; FIN 350* with a grade of C- or better, ISYS 263*.

FIN 350 Business Finance (Units: 3)
Prerequisites: Restricted to upper-division Lam Family College of Business majors and minors; ACCT 101*, ECON 101*, ECON 102*; DS 212* or ISED 160* or MATH 124*; all with grades of C- or better.

FIN 351 Financial Management (Units: 3)
Prerequisites: Restricted to upper-division Business Administration: Concentration in Finance majors; FIN 350* with a grade of C- or better.

FIN 353 Financial Institutions (Units: 3)
Prerequisites: Restricted to upper-division Business Administration: Concentration in Finance majors; FIN 350* with a grade of C- or better.

FIN 355 Investments (Units: 3)
Prerequisites: Restricted to upper-division Business Administration: Concentration in Finance majors; FIN 350* with a grade of C- or better.

FIN 357 Financial Statement and Data Analysis (Units: 3)
Prerequisites: Restricted to upper-division Business Administration: Concentration in Finance majors; FIN 350* with a grade of C- or better.

FIN 365 Real Estate Principles (Units: 3)
Prerequisites: Restricted to upper-division Business Administration: Concentration in Finance majors; FIN 350* with a grade of C- or better.

FIN 370 Fundamentals of Real Estate (Units: 3)
Prerequisites: Restricted to upper-division Business Administration: Concentration in Finance majors; FIN 350* with a grade of C- or better.

FIN 371 Commercial Real Estate Financial Management (Units: 3)
Prerequisites: Restricted to upper-division Business Administration: Concentration in Finance majors; FIN 350* with a grade of C- or better.

FIN 372 Commercial Leasing and Development (Units: 3)
Prerequisites: Restricted to upper-division Business Administration: Concentration in Finance majors; FIN 350* with a grade of C- or better.

FIN 420 Introduction to FinTech (Units: 3)
Prerequisite: Restricted to upper-division standing.

FIN 435 Politics of Global Finance and Crisis (Units: 4)
Prerequisite: Upper-division standing or consent of the instructor.

The development and role of late 20th- and early 21st-century financial technologies in modern market crises. Research and critical analysis of 21st-century finance capitalism. Political and financial interactions, including limits and possibilities for controlling financial behavior. (This course is offered as PLSI 435, I R 435, and FIN 435. Students may not repeat the course under an alternate prefix.)
FIN 536 International Finance (Units: 3)
Prerequisites: Restricted to upper-division Business Administration:
Concentration in Finance majors; FIN 350* with a grade of C- or better.

International financial environment and corporate financial management.
Topics include balance of payment, foreign exchange markets,
international parity conditions, foreign exchange risk management, and
international financial markets. (Plus-minus letter grade only)

FIN 651 Student Managed Fund in Environmental, Social and Governance
(ESG) Investments (Units: 3)
Prerequisite: Sophomore standing or higher.

Introduction to the fundamental concepts and principles of
Environmental, Social, and Governance (ESG) investments and their
application to firm valuation and portfolio selection. Discussion of the
topics of financial markets, financial statement analysis, time value of
money, asset valuation, risk and return, and portfolio selection within
the context of ESG. Survey of critical skills in implementing the ESG
principles in investments with a blend of discussions, readings, cases,
and presentations.
(This course is offered as FIN 651, ECON 651, and ENVS 651. Students
may not repeat the course under an alternate prefix.)

FIN 655 Alternative Investment (Units: 3)
Prerequisite: Restricted to upper-division Business Administration:
Concentration in Finance majors; FIN 355* with a grade of C- or better;
ECON 500 recommended.

A theoretical and practical look into the world of managing alternative
investments and behavioral finance. Topics include hedge funds, market
rationality, and irrationality, fixed income, risk and rewards of various
investment styles, etc. (Plus-minus letter only)

FIN 659 Special Topics in Finance (Units: 3)
Prerequisites: Restricted to upper-division Business Administration:
Concentration in Finance majors; FIN 350* with a grade of C- or better.

Critical and emerging issues in finance. Topics may include derivative
assets, pricing and application, financial and economic crisis mergers
and acquisitions, start-up financing, private equity, venture capital, and
other relevant topics as they arise. (Plus-minus letter grade only)

FIN 699 Independent Study (Units: 1-3)
Prerequisites: Restricted to senior Business Administration:
Concentration in Finance majors; FIN 350*, two additional required
courses for the concentration with a grade of B or better; or consent of
the instructor.

Intensive problem analysis under the direction of a Finance faculty
member. Open only to upper-division students who have demonstrated
the ability to do independent work. May be repeated for a total of 12
units. (CR/NC grading only)

FIN 819 Financial Analysis and Management (Units: 3)
Prerequisites: Restricted to graduate Business student; BUS 785 with a
grade of B- or better; graduate students in other majors with the consent
of the Faculty Director of Graduate Programs.

Analysis of the use of financial derivatives to hedge or diversity market
risk, interest rate risk, credit risk, liquidity risk, operational risk, currency
risk, and legal risk. (Plus-minus letter grade only)

FIN 820 Seminar in Financial Risk Management (Units: 3)
Prerequisites: BUS 785 with a grade of B- or better, FIN 825; FIN 828
recommended. Restricted to graduate Business students; graduate
students in other majors with the consent of the Faculty Director of
Graduate Programs.

Analysis of recent innovations in financial theory applied to domestic
and international aspects of working capital management, security
valuation, capital budgeting, cost of capital, capital structure, dividend
policy, mergers, and acquisitions. (Plus-minus letter grade only)

FIN 825 Seminar in Investments (Units: 3)
Prerequisites: BUS 785 with a grade of B- or better. Restricted to graduate
Business student, graduate students in other majors with the consent of
the Faculty Director of Graduate Programs.

Theoretical literature on domestic and international aspects of
investment analysis, valuation, and management. Micro and macro
aspects of investments, including models for investment decision-making
and security valuation. (Plus-minus letter grade only)

FIN 828 Seminar: Financial Markets and Institutions (Units: 3)
Prerequisites: BUS 785 with a grade of B- or better. Restricted to graduate
Business student, graduate students in other majors with the consent of
the Faculty Director of Graduate Programs.

Domestic and international aspects of financial institutions, their
markets, securities, and business and regulatory environment. Presented
from the viewpoint of managers responsible for asset and liability
management of financial institutions. (Plus-minus letter grade only)

FIN 836 Seminar in International Finance (Units: 3)
Prerequisites: BUS 785 with a grade of B- or better. Restricted to graduate
Business student, graduate students in other majors with the consent of
the Faculty Director of Graduate Programs.

International financial environment and corporate financial management.
Topics include balance payment, foreign exchange markets, international
parity conditions, foreign exchange risk management, and international
financial markets. (Plus-minus letter grade only)